



# **Student and Family Rights Accountability**

## **Formerly “Compliance”**

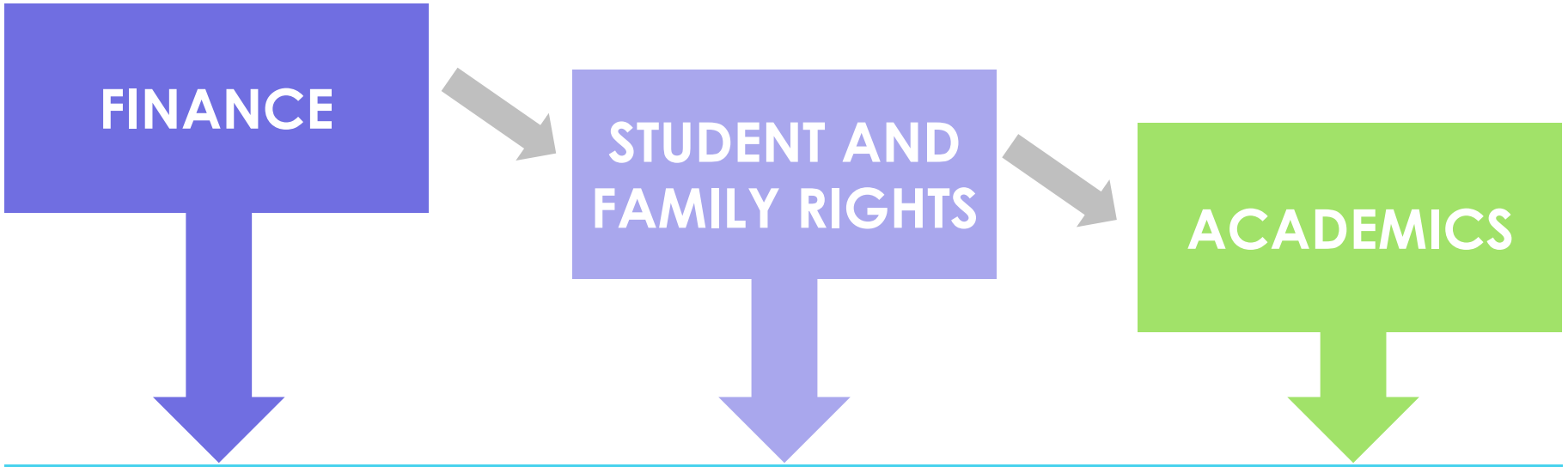
2015-2016 Operator Roll-Out

# Table of Contents

- “Student and Family Rights” Accountability Framework
  - Changes from 2014-2015
- Consolidated Monitoring Approach
  - Annual ASD Audit
  - Document Collection
  - Cumulative Data
- Implications of Noncompliance
  - Notice of Concern, Action Plans, and Responsive Monitoring
  - School Action Decisions
  - Egregious Violations

# Why the change?

- Best Authorizer
  - Design the most effective environment for operators
  - Operator Feedback – remove operational and communication barriers
  - Learning and Reflections from “14-15 Compliance”
- ASD Strategic Plan
  - Responsibility of transforming “neighborhood” schools
  - Focus on key legal priorities



# SCHOOL ACTIONS

**EXPANSION**

**REPLICATION**

**REPLACEMENT**

# Major Changes

## 14-15 Compliance

- 90% legally required
- 44 programmatic monitoring and Timeliness (Operator Responsiveness)
- Individual monitoring and data reporting systems
- Inconsistent levels of significance

## 15-16 S&FR

- All legally required
- 45% metric reduction (24)
- Streamlined Operator monitoring
- Strategic focus on governance and leadership accountability
- Removal of items with “natural consequences”
- Operator, Peer, and Best Practice consultation

# Accountability Item Selection Criteria

## Considerations

- Alignment with Tennessee priorities
- Foundational significance to success
- Peer LEA/Authorizer Research

## Parameters

- Federal or state legal requirement
- Noncompliance produces significant student harm
- Prompts LEA legal liability or citation from state/federal agency
- Noncompliance over time constitutes an “egregious violation”
- Equal weighting

# Student and Family Rights Accountability

Formerly: “Compliance”

## Access

- Right to special services, as required by law

## Enrollment

- Right to school choice and equitable enrollment

## Health/Safety

- Right to a healthy and safe environment

## Leadership

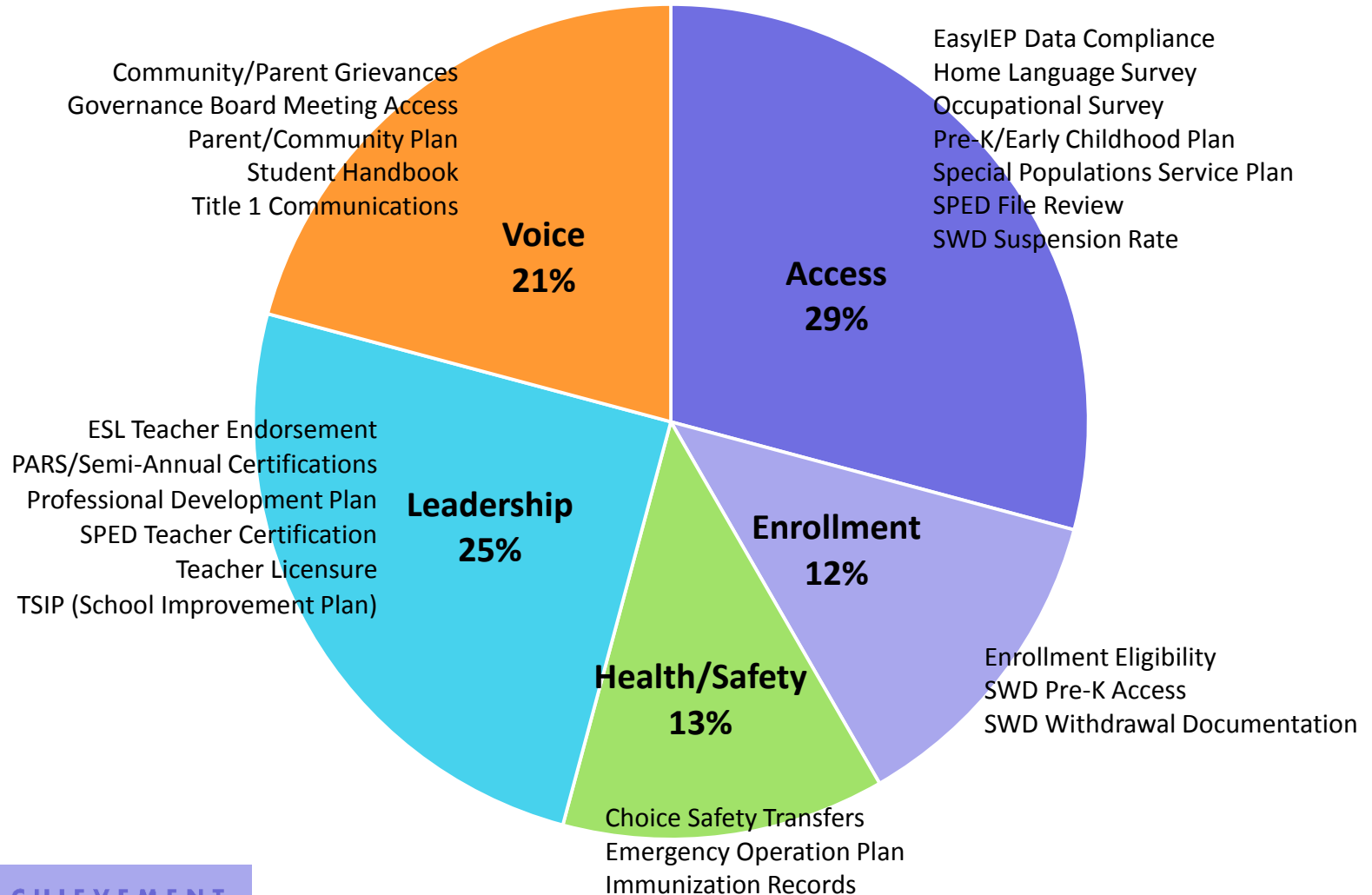
- Right to qualified staff

## Voice

- Right to be included and informed

Link to [Student and Family Rights Accountability Items](#)

# Accountability Items





# **S&FR Monitoring**

# Monitoring Methods

## Document Collection

- Collected at BOY
- Performance Results shared in MY Meetings

## Audit

- Conducted in November
- Performance Results shared in MY Meetings

## Cumulative Data

- Collected throughout year
- Performance Results available at EOY

# Monitoring Alignment

## BOY Service Plans

- Governance Board Meeting Access
- Parent/Community Plan
- Pre-K/Early Childhood Plan
- Professional Development Plan
- Special Populations Service Plan
- Title 1 Communications

Emergency Operation Plan

Student Handbook

TSIP (School Improvement Plan)

**Document  
Collection  
37%**

**Audit  
21%**

Home Language Survey

Immunization Records

Occupational Survey

SPED File Review

SWD Withdrawal Documentation

**Cumulative  
Data  
42%**

ASD Personnel Report

- ESL Teacher Endorsement

- PARS/Semi-Annual Certifications

- SPED Teacher Certification

- Teacher Licensure

Choice Safety Transfers

Community/Parent Grievances

EasyIEP Data Compliance

Enrollment Eligibility

SWD Pre-K Access

SWD Suspension Rate

# Monitoring Schedule

Data Availability	
	Final Performance
	Interim Checks

Item	Monitoring Category	Collection	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Final Report
Home Language Survey	Audit	November															January
Occupational Survey	Audit	November															January
SPED File Review	Audit	November															January
Withdrawal Documentation	Audit	November															January
Immunization Records	Audit	November															January
EasyIEP Data Compliance	Cumulative Data	December															January
ESL Teacher Endorsement	Cumulative Data	Triannually															July
PARS/Semi-Annual Certifications	Cumulative Data	Triannually															July
SPED Teacher Certification	Cumulative Data	Triannually															July
Teacher Licensure	Cumulative Data	Triannually															July
Community/Parent Grievances	Cumulative Data	Quarterly															July
Enrollment Eligibility	Cumulative Data	Quarterly															July
SWD Pre-K Access	Cumulative Data	Quarterly															July
SWD Suspension Rate	Cumulative Data	Quarterly															July
Choice Safety Transfers	Cumulative Data	Quarterly															July
Emergency Operation Plan	Document Collection	August															January
Governance Board Meeting Access	Document Collection	August															January
Student Handbook	Document Collection	August															January
Parent/Community Plan	Document Collection	August															January
Pre-K/Early Childhood Plan	Document Collection	August															January
Professional Development Plan	Document Collection	August															January
Special Populations Service Plan	Document Collection	August															January
Title 1 Communications	Document Collection	August															January
TSIP (School Improvement Plan)	Document Collection	August															January

**Goal: Minimize Intrusion**

# **Noncompliance Implications**

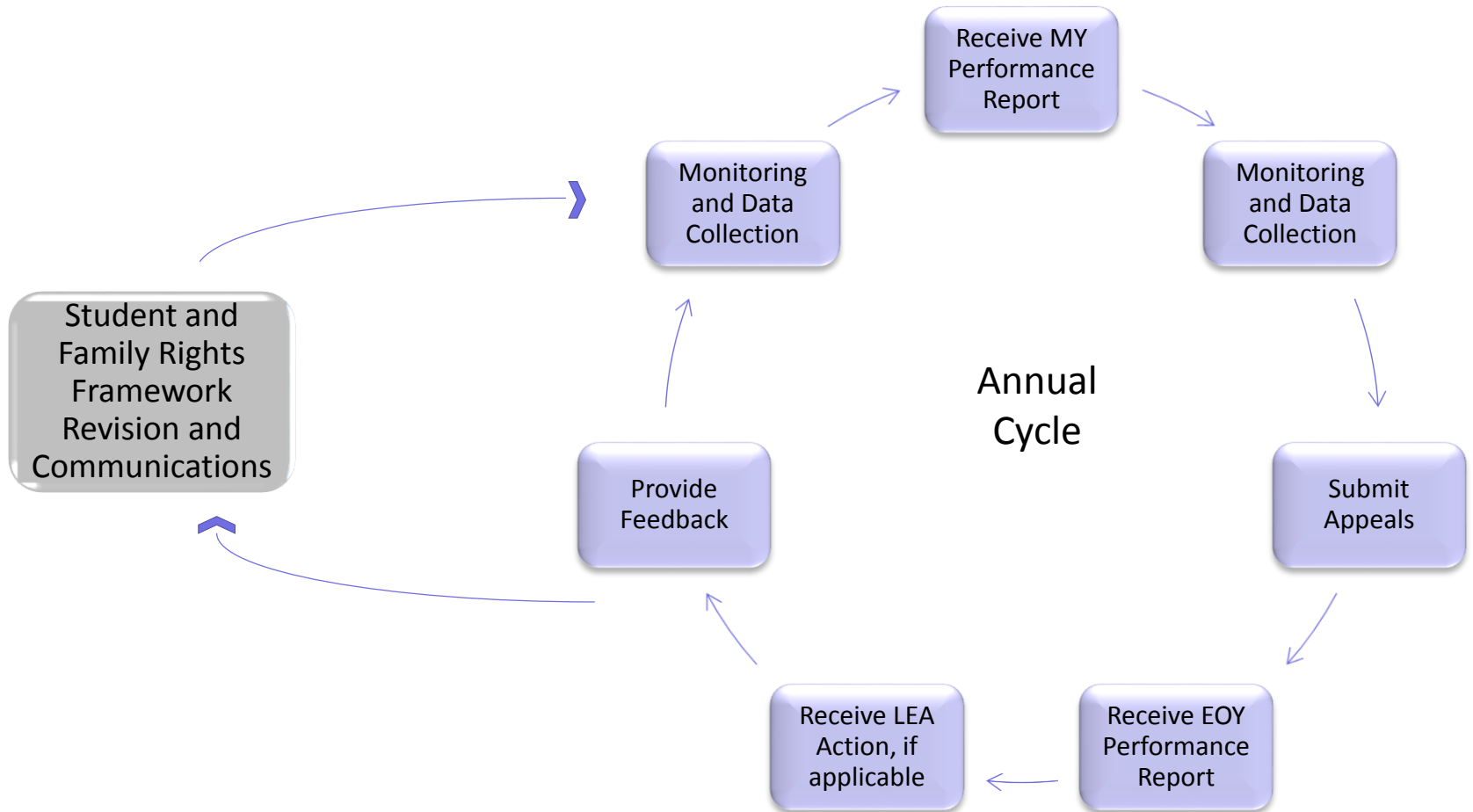
# LEA Actions

Occurs After...	ASD Action	Communication	
Mid-Year Performance	Send Notice of Concern	Annually (Jan)	
1 <sup>st</sup> year of Noncompliance of Individual Item(s)	Require Corrective Action Plan	Annually (July)	
2 <sup>nd</sup> year of Noncompliance of Individual Item(s)	Probationary Period	Conduct Responsive Monitoring	EOY Year 2 (July)
		Decision to Pause Replication	Year 3 September
3 <sup>rd</sup> year of Noncompliance of Individual Item(s)		Decision to Revoke Charter	Year 4 September
Egregious Violations* (incl. 25% (6+) noncompliant items in one school year)		Decision to Pause Replication	Annually (Sept)

\*Egregious Noncompliance defined as “grossly negligent act(s) that deny access to education, lead to significant student harm, and/or invalidate accountability results.”

**Goal: Systemize and Simplify  
Accountability Implications**

# Annual Cycle



# Next Steps

Completion	Step	Detail
July 17, 2015	Operator Training	Launch and publication of S&FR
July 23, 2015	Operator Q&A	Discussion of Remaining Questions and Concerns
August 11, 2015	SPF Training Session	Finance, Student and Family Rights, and Academic 15-16 Accountability
August 29, 2015 (amendments accepted through Oct 1, 2015)	Submit BOY Service Plans*	School and Family-Centered Plans for 2015-2016
September 15, 2015	Submit Personnel Report I (of III)*	Leader, teacher, and staff licenses, certificates, and trainings
November 2015	Annual Audit*	On-Site Review of Enrollment, Special Education, and Federal Programs requirements



# APPENDIX

# 14-15 State of Compliance

- 44 metrics
- Timeliness: 30 items
  - Monitors whether significant deliverables are submitted in a timely manner
  - Cumulative
- Programmatic: 14 items
  - Monitors program compliance with legal and district expectations
  - Collected at MY and EOY
- Individual (inconsistent) processes for monitoring and communications
- 12 Buckets
  - Assessments
  - Enrollment
  - Financial Reporting
  - Finance – Federal Programs
  - Grievances
  - School Culture
  - State Reporting
  - Student Access – Alt. Education
  - Student Access – Migrant/Homeless
  - Student Access – Special Education
  - Student Safety

# Accountability Items

Access	EasyIEP Data Compliance	Leadership	Professional Development Plan
Access	Home Language Survey	Leadership	SPED Teacher Certification
Access	Occupational Survey	Leadership	Teacher Licensure
Access	Pre-K/Early Childhood Plan	Leadership	TSIP (School Improvement Plan)
Access	Special Populations Service Plan	Health/Safety	Choice Safety Transfers
Access	SPED File Review	Health/Safety	Emergency Operation Plan
Access	SWD Suspension Rate	Health/Safety	Immunization Records
Enrollment	Membership Proportionality	Voice	Community/Parent Grievances
Enrollment	SWD Pre-K Access	Voice	Governance Board Meeting Access
Enrollment	SWD Withdrawal Documentation	Voice	Parent/Community Plan
Leadership	ESL Teacher Endorsement	Voice	Student Handbook
Leadership	PARS/Semi-Annual Certifications	Voice	Title 1 Communications

# Target Verification Process

Support Team Member-led



Clearly Articulated Purpose



Review of Peer LEA/Authorizer Targets



Rationales and Justifications for each Target



Analysis of past and current district performance for each Target